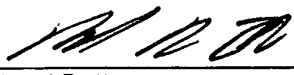
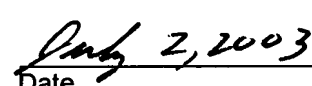
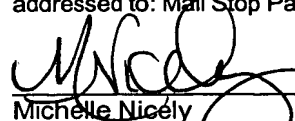
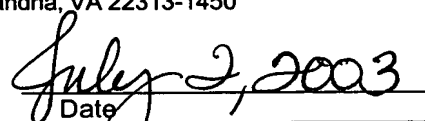


| | |
|---|--|
| Utility Patent Application Transmittal | Attorney Docket No.: E-11 First Inventor: Ronald A. Underwood Title: Method for Treating Obstructive Sleep Disorder Includes Removing Tissue from the Base of Tongue Express Mail Label No.: EU313734578US |
| APPLICATION ELEMENTS | ADDRESS TO: Mail Stop Patent Application Box 1450 Alexandria, VA 22313-1450 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) 2. <input type="checkbox"/> Applicant claims small entity status. 3. <input checked="" type="checkbox"/> Specification - Total Pages <u>71</u> - Descriptive title of the invention - Cross Reference to Related Applications - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claims - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawings - Total Sheet <u>34</u> 5. <input checked="" type="checkbox"/> Oath or Declaration - Total Pages <u>1</u> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) i. <input type="checkbox"/> Deletion of Inventors 6. <input checked="" type="checkbox"/> Application Data Sheet | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies |
| | ACCOMPANYING APPLICATION PARTS |
| | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet/documents) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document 12. <input type="checkbox"/> Information Disclosure Statement (PTO-1449) 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard 15. <input type="checkbox"/> Certified Copy of Priority Document 16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(I) 17. <input type="checkbox"/> Other _____ |
| 18. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-Part (CIP) of prior application No.: 09/562,496 Prior application information: Examiner: Michael Thompson Group Art Unit: 3763 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. | |
| 19. Correspondence Address: Customer Number 021394 | |
|  Richard Batt Reg. 43,485 |  Date |
| CERTIFICATE OF MAILING | |
| I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. EU313734578US and is addressed to: Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450 | |
|  Michelle Nicely |  Date |

17525 U.S. PTO
 10/613609
 07/02/03

07/02/03

0509 U.S. PTO

FEE TRANSMITTAL for FY 2003

Application No.: Unknown

Filing Date: July 2, 2003

First Named Inventor: Ronald A. Underwood

Examiner Name: Unknown

Group Art Unit: Unknown

[] Applicant claims small entity status. 37 CFR 1.27

Attorney Docket No.: E-11

TOTAL AMOUNT OF PAYMENT \$ 1086

FEE CALCULATION (continued)

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account No. 50-0359
ArthroCare Corporation

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 101 750 | 201 375 | Utility filing fee | 750 |
| 106 330 | 206 165 | Design filing fee | |
| 107 520 | 207 260 | Plant filing fee | |
| 108 750 | 208 375 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) \$ 750

2. [x] EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 34 | 20** = 14 | x 18 = 252 | |
| 4 | 3** = 1 | x 84 = 84 | |
| Multiple Dependent | | 0 = 0 | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|-----------------------------------|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dep. Claim |
| 1204 84 | 2204 42 | **Reissue indep. over orig. |
| 1205 18 | 2205 9 | **Reissue clms over 20 |

SUBTOTAL (2) \$ 336

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|---|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | Request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner Action | |
| 1805 1,840 | 1805 1,840* | Requesting publication of SIR after Examiner Action | |
| 1251 110 | 2251 55 | Extension for reply within 1 st month | |
| 1252 400 | 2252 200 | Extension for reply within 2 nd month | |
| 1253 930 | 2253 465 | Extension for reply within 3 rd month | |
| 1254 1,450 | 2254 725 | Extension for reply within 4 th month | |
| 1255 1,960 | 2255 985 | Extension for reply within 5 th month | |
| 1401 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of IDS | |
| 8021 40 | 8021 40 | Recording assignment per property | |
| 1809 750 | 2809 375 | Filing a submission after final | |
| 1810 750 | 2810 375 | For each additional invention to be examined | |
| 1801 750 | 2801 375 | Request for Continued Examination | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee: _____

SUBTOTAL (3) \$ _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Richard Batt
Reg. 43,485
Ph: (408) 736-0224

Date

July 2, 2003